## Terms and Conditions of "Warrant & CBBC" brokerage as low as \$4.88

- 1. This Offer is only applicable to customer who is i Trade Securities Account holders ("Eligible Customer") of CMB Wing Lung Bank ("the Bank").
- Eligible Customer can enjoy commission discounts for trading warrants and CBBC listed on Hong Kong Stock Exchange through electronic channels with transaction amount HKD50,000/RMB50,000 or below. The brokerage is fixed at HKD4.88/RMB4.88 and the maximum brokerage will be "capped" at HKD2,888/RMB2,888 each month.
- 3. (Applicable to Margin Securities Trading Account) If the Margin Securities Trading Account was liquidated forcibly by the Bank during the Promotional Period (e.g. the account maintains insufficient margin causing the Bank to liquidate forcibly of all or part of the securities), such Securities Account will not be eligible for any offer or brokerage rebate from that calendar month.
- 4. Eligible Customer should still pay other relevant fees charged by the Bank and/or transaction fees, including but not limited to settlement fee, trading free, transaction levy and stamp duty, etc. For more details, please refer to Securities Service Charges on "CMB Wing Lung Bank Tariff Guide" and "Global Securities Trading Services Charges Schedule".
- 5. The Bank reserves the right to suspend, amend, terminate or cancel any offers, rewards, brokerage rebate and/or amend these Terms and Conditions at any time without further notice. Should there be any disputes of the Terms and Conditions of this e-Offer, the Bank reserves the final right of explanation and decision. For details of these Terms and Conditions, service charges and promotional offers of the Bank's securities services, please refer to the relevant promotional leaflets, "CMB Wing Lung Bank Tariff Guide" and "Global Securities Trading Services Charges Schedule".
- 6. In case of discrepancies between the English and Chinese versions of these Terms and Conditions, the Chinese version shall prevail.

Warning: To borrow or not to borrow? Borrow only if you can repay!

## Risk disclosure:

The above information is for reference only and does not constitute and should not be regarded as any offer to purchase or sell. Investment involves risks and the price of securities may fluctuate or even become worthless. Losses may be incurred rather than making a profit as a result of dealing in securities. You should consider carefully and independently whether the securities are suitable for you in light of your investment experience, objectives, financial position and risk profile. This advertisement has not been authorized by the Securities and Futures Commission and independent professional advice should be obtained if necessary. Please read the relevant Terms and Conditions together with the risk disclosure statements in the General Conditions for Accounts and Services before making any investment decisions.

Transactions conducted through financing obtained through pledge loans are highly risky. The losses you may suffer could exceed the amount of the cash and other assets you have deposited as pledges with us.

You could be asked within a short time to deposit extra margin or pay up for the interest. If you fail to pay the required margin or interest before the designated deadline, your pledge is likely to be sold without your consent. In addition, you are also liable for any shortage of fund in your account and for the interest due. Therefore, you are kindly advised to ponder if such financing arrangements suit your financial status and investment strategies.

Under certain market conditions, you may find it difficult or impossible to liquidate a position. In these circumstances, your loss will not be limited to your margin and may be a substantial amount in addition. You should not participate in margin trading unless you are willing to assume the risks associated with such transaction and are financially able to absorb losses.

## Statement:

According to the Personal Data (Privacy) Ordinance, you may choose not to receive our future promotional materials at any time and without being charged. Please <u>click here</u> or inform our Data Protection Officer in writing in case of such a request. (Address: The Data Protection Officer, CMB Wing Lung Bank.45 Des Voeux Road Central, Hong Kong. Fax no. 2782 3895)

CMB Wing Lung Bank Limited